

# Contents

---

<b>Foreword .....</b>	xvii
<b>Preface .....</b>	xix
<b>Acknowledgments .....</b>	xxi
<b>List of symbols and definitions .....</b>	xxvii
<b>1. Introduction .....</b>	1
1.1 Scope and background .....	1
1.2 Layout of the book .....	4
<b>2. Nature of the Problem .....</b>	8
2.1 Introduction .....	8
2.2 Basic concepts of thermodynamic systems .....	9
2.2.1 <i>State of a system, extensive and intensive properties</i>	
2.2.2 <i>Classification of thermodynamic systems</i>	
2.2.3 <i>Forced and free behavior of open systems</i>	
2.2.4 <i>Random systems</i>	
2.3 Components of the climate system .....	13
2.3.1 <i>Atmosphere</i>	
2.3.2 <i>Hydrosphere</i>	
2.3.3 <i>Cryosphere</i>	
2.3.4 <i>Lithosphere</i>	
2.3.5 <i>Biosphere</i>	
2.4 The climate system .....	18
2.4.1 <i>The nature of the climate system</i>	
2.4.2 <i>The climate state</i>	
2.4.3 <i>Climate variability</i>	
2.5 Feedback processes in the climate system .....	26
2.5.1 <i>Feedback concepts</i>	
2.5.2 <i>Applications to the climate system</i>	
2.5.3 <i>Some examples</i>	
<b>3. Basic Equations for the Atmosphere and Oceans .....</b>	32
3.1 Equation of continuity .....	32
3.2 Equations of motion .....	34
3.2.1 <i>Frictional effects</i>	
3.2.2 <i>Filtering of the basic equations for the atmosphere</i>	
3.2.3 <i>Filtering of the basic equations for the oceans</i>	

## TABLE OF CONTENTS

3.3	Vorticity equation . . . . .	42
3.3.1	<i>Some definitions of vorticity</i>	
3.3.2	<i>General vorticity equation</i>	
3.3.3	<i>Vorticity equation of the horizontal motion</i>	
3.4	Thermodynamic energy equation and some applications . . . . .	46
3.4.1	<i>First law of thermodynamics</i>	
3.4.2	<i>Static stability</i>	
3.4.3	<i>Potential vorticity</i>	
3.4.4	<i>The thermodynamic energy equation and the local rate of change of temperature</i>	
3.5	Equation of state . . . . .	51
3.5.1	<i>Atmosphere</i>	
3.5.2	<i>Oceans</i>	
3.5.3	<i>Barotropy and baroclinicity</i>	
3.6	Equation of water vapor . . . . .	58
3.7	Summary of the basic equations in Lagrangian and Eulerian form . . .	58
<b>4.</b>	<b>Various Decompositions of the Circulation</b> . . . . .	61
4.1	Transient and stationary eddies . . . . .	61
4.1.1	<i>Time and horizontal resolutions of the circulation</i>	
4.1.2	<i>Vertical resolution of the circulation</i>	
4.2	Spectral analysis of meteorological fields . . . . .	65
4.2.1	<i>Spectral analysis in space and time</i>	
4.2.2	<i>Limitations of sampling</i>	
4.3	Empirical orthogonal function analysis . . . . .	67
<b>5.</b>	<b>The Data</b> . . . . .	70
5.1	Observational networks . . . . .	70
5.1.1	<i>Atmospheric data</i>	
5.1.2	<i>Oceanic data</i>	
5.1.3	<i>Satellite data</i>	
5.1.4	<i>International field projects</i>	
5.2	Data processing techniques . . . . .	81
5.2.1	<i>Atmospheric data</i>	
5.2.2	<i>Oceanic data</i>	
5.2.3	<i>Satellite data</i>	
5.3	Objective analysis methods . . . . .	84
5.3.1	<i>Atmospheric analyses</i>	
5.3.2	<i>Reliability of the atmospheric analyses</i>	
5.3.3	<i>Oceanic analyses</i>	
5.4	Other atmospheric data sets . . . . .	88
<b>6.</b>	<b>Radiation Balance</b> . . . . .	91
6.1	Introduction . . . . .	91
6.1.1	<i>Nature of solar and terrestrial radiation</i>	
6.1.2	<i>Global radiation balance</i>	
6.2	Physical radiation laws . . . . .	95
6.2.1	<i>Planck's law</i>	
6.2.2	<i>Stefan-Boltzmann law</i>	
6.2.3	<i>Wien displacement law</i>	
6.2.4	<i>Kirchhoff's law</i>	
6.2.5	<i>Beer-Bouguer-Lambert law</i>	
6.3	Solar radiation . . . . .	98
6.3.1	<i>Solar spectrum and solar constant</i>	
6.3.2	<i>Distribution of solar radiation at the top of the atmosphere</i>	
6.3.3	<i>Aerosols</i>	
6.3.4	<i>Absorption of solar radiation</i>	

....	42	6.3.5	<i>Scattering of solar radiation</i>	
		6.3.6	<i>Effects of clouds on solar radiation</i>	
		6.3.7	<i>Solar radiation at the earth's surface</i>	
	46	6.4	<b>Terrestrial radiation</b>	104
		6.4.1	<i>Introduction</i>	
		6.4.2	<i>Absorption and emission spectra of atmospheric gases</i>	
		6.4.3	<i>Rotational and vibrational bands</i>	
		6.4.4	<i>Spectral lines—Lorentz formula</i>	
		6.4.5	<i>Transmissivity functions</i>	
		6.4.6	<i>Band models</i>	
	51	6.4.7	<i>Nonhomogeneous paths</i>	
		6.5	<b>Radiative transfer</b>	110
		6.5.1	<i>Schwarzchild equation</i>	
		6.5.2	<i>Radiative transfer equation</i>	
	58	6.6	<b>Radiation balance of the atmosphere</b>	114
	58	6.7	<b>Radiation balance at the earth's surface</b>	116
	61	6.8	<b>Observed radiation balance</b>	117
	61	6.8.1	<i>Radiation balance of the earth</i>	
		6.8.2	<i>Global distribution of the radiation balance</i>	
		<b>7. Observed Mean State of the Atmosphere</b>	131	
	65	7.1	<b>Atmospheric mass and pressure</b>	131
		7.1.1	<i>Mass balance</i>	
		7.1.2	<i>Distribution of mass in terms of pressure</i>	
	67	7.2	<b>Mean temperature structure of the atmosphere</b>	137
		7.2.1	<i>Global distribution of the temperature</i>	
		7.2.2	<i>Vertical structure of the temperature</i>	
		7.2.3	<i>Variability of the temperature</i>	
	70	7.3	<b>Mean geopotential height structure of the atmosphere</b>	144
		7.3.1	<i>Vertical structure of the geopotential</i>	
		7.3.2	<i>Variability of the geopotential height</i>	
	70	7.4	<b>Mean atmospheric circulation</b>	149
		7.4.1	<i>Introduction</i>	
		7.4.2	<i>Global distribution of the circulation</i>	
		7.4.3	<i>Vertical structure of the circulation</i>	
		7.4.4	<i>Variability of the circulation</i>	
	81	7.5	<b>Mean kinetic energy in the atmosphere</b>	162
		7.5.1	<i>Global distribution of the kinetic energy</i>	
		7.5.2	<i>Vertical structure of the kinetic energy</i>	
	84	7.6	<b>Precipitation, evaporation, runoff, and cloudiness</b>	165
		7.6.1	<i>Precipitation</i>	
		7.6.2	<i>Evaporation</i>	
		7.6.3	<i>Surface runoff</i>	
		7.6.4	<i>Cloudiness</i>	
		<b>8. Observed Mean State of the Oceans</b>	176	
	88	8.1	<b>Mean temperature structure of the oceans</b>	176
		8.1.1	<i>Global distribution of the temperature</i>	
		8.1.2	<i>Vertical structure of the temperature</i>	
		8.1.3	<i>Variability of the temperature</i>	
	91	8.2	<b>Mean salinity structure of the oceans</b>	187
		8.2.1	<i>Global distribution of the salinity</i>	
		8.2.2	<i>Vertical structure of the salinity</i>	
	91	8.3	<b>Mean density structure of the oceans</b>	190
		8.3.1	<i>Global distribution of the density</i>	
		8.3.2	<i>Vertical structure of the density</i>	

8.4 Mean ocean circulation .....	196	12.2 Equi-
8.4.1 Global distribution of the surface circulation		12.22
8.4.2 Vertical structure of the circulation		12.22
8.5 Surface kinetic energy of the oceans .....	206	12.22
<b>9. Observed Mean State of the Cryosphere</b> .....	207	12.3 Ob-
9.1 Role of the cryosphere in the climate .....	207	12.22
9.2 General features of the cryosphere .....	210	12.22
9.3 Ice sheets and glaciers .....	211	12.4 Sy-
9.4 Sea ice .....	212	12.5 Hy-
9.5 Snow .....	214	12.22
9.6 Permafrost .....	215	12.22
<b>10. Exchange Processes Between the Earth's Surface and the Atmosphere</b> .....	216	<b>13. Energy</b>
10.1 Introduction .....	216	13.1 Ba-
10.2 Energy budget at the surface .....	217	13.2 En-
10.2.1 Energy fluxes at an ideal surface		13.22
10.2.2 Energy budget of a layer		13.22
10.3 Development of the planetary boundary layer .....	222	13.3 Ot-
10.3.1 Some characteristic features of the planetary boundary layer		13.32
10.3.2 Generation and maintenance of atmospheric turbulence		13.32
10.3.3 Effects of stability		13.32
10.4 Exchange of momentum .....	226	13.4 Ex-
10.4.1 Eddy correlation approach		13.42
10.4.2 Gradient-flux approach		13.42
10.4.3 Mixing-length approach and wind profiles		13.42
10.4.4 Bulk aerodynamic method		13.42
10.5 Transfer of mechanical energy into the oceans .....	231	13.5 En-
10.6 Exchange of sensible heat .....	232	13.52
10.7 Exchange of water vapor, evaporation .....	233	<b>14. The Oceans</b>
10.7.1 Eddy correlation, gradient-flux, and bulk transfer methods		14.1 A-
10.7.2 Energy balance method		14.2 A-
10.7.3 Combined approaches, Penman formula		14.3 B-
10.8 Formation of atmospheric aerosol .....	240	14.4 C-
<b>11. Angular Momentum Cycle</b> .....	241	14.5 M-
11.1 Balance equations for angular momentum .....	241	14.52
11.1.1 Introduction		14.52
11.1.2 Angular momentum in the climatic system		14.52
11.1.3 Angular momentum in the atmosphere		14.52
11.1.4 Volume integrals		14.52
11.1.5 Modes of transport		14.52
11.2 Observed cycle of angular momentum .....	255	14.62
11.2.1 Angular momentum in the atmosphere		14.62
11.2.2 Angular momentum exchange between the atmosphere and the underlying surface		14.62
11.2.3 Cycle of angular momentum in the climatic system		14.62
11.2.4 Angular momentum exchange between the oceans and the lithosphere		14.62
<b>12. Water Cycle</b> .....	270	14.62
12.1 Formulation of the hydrological cycle .....	270	14.62
12.1.1 Introduction		14.62
12.1.2 Water in the climatic system		14.62

.... 196	
.... 206	12.2 Equations of hydrology ..... 273
.... 207	12.2.1 <i>Classic equation of hydrology</i>
.... 207	12.2.2 <i>Balance equation for water vapor</i>
.... 210	12.2.3 <i>Modes of water vapor transport</i>
.... 211	12.3 Observed atmospheric branch of the hydrological cycle ..... 278
.... 212	12.3.1 <i>Water vapor in the atmosphere</i>
.... 214	12.3.2 <i>Transport of water vapor</i>
.... 215	12.3.3 <i>Divergence of water vapor</i>
.... 216	12.4 Synthesis of the water balance ..... 297
.... 216	12.5 Hydrology of the polar regions ..... 302
.... 217	12.5.1 <i>Equations of hydrology including vapor, liquid, and solid water substance</i>
.... 217	12.5.2 <i>Observed water budget of the polar regions</i>
.... 222	<b>13. Energetics</b> ..... 308
.... 226	13.1 Basic forms of energy ..... 308
.... 231	13.2 Energy balance equations ..... 310
.... 232	13.2.1 <i>Introduction</i>
.... 233	13.2.2 <i>The climate equations</i>
.... 240	13.2.3 <i>Volume integrals</i>
.... 241	13.2.4 <i>Globally averaged climate equations</i>
.... 241	13.3 Observed energy balance ..... 319
.... 241	13.3.1 <i>Diabatic heating in the atmosphere</i>
.... 241	13.3.2 <i>Energy in the atmosphere</i>
.... 241	13.3.3 <i>Transport of atmospheric energy</i>
.... 241	13.3.4 <i>Energy in the oceans</i>
.... 241	13.3.5 <i>Transport of oceanic energy</i>
.... 241	13.3.6 <i>Synthesis of the energy balance</i>
.... 255	13.4 Energetics of the polar regions ..... 353
.... 270	13.4.1 <i>Formulation of the energy budget</i>
.... 270	13.4.2 <i>Observed energy budget in atmospheric polar caps</i>
.... 270	13.4.3 <i>Observed energy budget in ocean-land-ice polar caps</i>
.... 270	13.4.4 <i>Synthesis of the polar energetics</i>
.... 270	<b>14. The Ocean-Atmosphere Heat Engine</b> ..... 365
.... 270	14.1 Availability of energy in the atmosphere ..... 365
.... 270	14.2 Availability of energy in the ocean ..... 370
.... 270	14.3 Balance equations for kinetic and available potential energy ..... 373
.... 270	14.3.1 <i>Formal derivation of the balance equations</i>
.... 270	14.3.2 <i>Balance equations for mean and eddy kinetic energy in the atmosphere</i>
.... 270	14.3.3 <i>Balance equations for mean and eddy available potential energy in the atmosphere</i>
.... 270	14.4 Observed energy cycle in the atmosphere ..... 379
.... 270	14.4.1 <i>Spatial distributions of the energy and energy conversions</i>
.... 270	14.4.2 <i>The energy cycle</i>
.... 270	14.5 Maintenance and forcing of the zonal-mean state of the atmosphere ..... 385
.... 270	14.5.1 <i>Introduction</i>
.... 270	14.5.2 <i>Interactions between the eddies and the zonal-mean state</i>
.... 270	14.5.3 <i>Eliassen-Palm flux</i>
.... 270	14.5.4 <i>Modified momentum and energy equations</i>
.... 270	14.5.5 <i>Forcing of the mean meridional circulation</i>
.... 270	14.5.6 <i>Some examples of E-P flux diagrams</i>
.... 270	14.6 Observed energy cycle in the oceans ..... 393
.... 270	14.6.1 <i>Spatial distributions of the energy components</i>
.... 270	14.6.2 <i>The energy cycle</i>

<b>15. Entropy in the Climate System .....</b>	401	A3.
15.1 Introduction .....	401	A4.
15.2 Balance equation of entropy .....	403	
15.3 Observed entropy budget of the atmosphere .....	407	
15.3.1 <i>Global entropy budget</i>		
15.3.2 <i>Regional entropy budgets</i>		
<b>16. Interannual and Interdecadal Variability in the Climate System .....</b>	412	B1.
16.1 Introduction .....	412	B2.
16.2 Quasibiennial oscillation .....	413	B3.
16.2.1 <i>Observed features</i>		
16.2.2 <i>Possible solar-QBO-climate connections</i>		
16.3 ENSO phenomenon .....	415	
16.4 Regional teleconnections .....	426	
16.5 Interdecadal fluctuations and trends .....	433	
16.5.1 <i>Anthropogenic influences</i>		
16.5.2 <i>Atmospheric gases</i>		
16.5.3 <i>Surface temperature</i>		
16.5.4 <i>Upper air temperatures</i>		
16.6 Some special climatic phenomena .....	444	
<b>17. Mathematical Simulation of Climate .....</b>	450	
17.1 Introduction .....	450	
17.2 Mathematical and physical structure of climate models .....	451	
17.2.1 <i>Basic parameters of a climate model</i>		
17.2.2 <i>Model equations</i>		
17.2.3 <i>Necessity of using numerical integrations</i>		
17.2.4 <i>Parameterizations</i>		
17.2.5 <i>Nature of the mathematical solutions</i>		
17.3 Hierarchy of climate models .....	463	
17.4 General circulation models .....	464	
17.4.1 <i>Some general features</i>		
17.4.2 <i>The development of general circulation modeling</i>		
17.4.3 <i>Coupled ocean-atmosphere models</i>		
17.5 Statistical dynamical models .....	467	
17.5.1 <i>Energy balance models</i>		
17.5.2 <i>Radiative-convective models</i>		
17.5.3 <i>Two-dimensional statistical dynamical models</i>		
17.6 Uses and applications of models .....	473	
17.6.1 <i>Some general remarks</i>		
17.6.2 <i>Data assimilation and network testing</i>		
17.6.3 <i>Modeling of the hydrological cycle</i>		
17.6.4 <i>Modeling of the ENSO phenomena</i>		
17.6.5 <i>Modeling of the CO<sub>2</sub> effects</i>		
17.6.6 <i>Effects of mountains and the simulation of an ice-age climate</i>		
17.6.7 <i>Sensitivity to changes in astronomical parameters</i>		
<b>Appendix A: Analysis in Terms of Fourier Components .....</b>	481	
A1. Introduction .....	481	
A2. The Fourier spectrum .....	482	

Appen  
Ortho  
B1.  
B2.  
B3.Refer  
Name  
Subje

. 401	A3. Multiplication and Parseval theorems .....	484
.. 401	A4. Spectral functions of the meteorological	
.. 403	variables and equations .....	487
.. 407	A4.1. <i>Linear quantities</i>	
	A4.2. <i>Quadratic quantities</i>	
	A4.3. <i>Meteorological equations</i>	
	<b>Appendix B: Analysis in Terms of Empirical</b>	
	<b>Orthogonal Functions (EOF's)</b> .....	492
. 412	B1. The problem .....	492
.. 412	B2. Solution of the problem .....	493
.. 413	B3. Variability of two-dimensional vector	
	fields .....	496
.. 415		
.. 426	<b>References</b> .....	497
.. 433	<b>Name Index</b> .....	509
	<b>Subject Index</b> .....	513

. 444  
. 450  
. 450  
. 451

. 463  
. 464

. 467

. 473

. 481  
. 481  
. 482